
Financial Services

WilmerHale has played a leading role in shaping the rules that govern the financial services industry in the United States.

The financial services industry is in flux, facing accelerated rates of change in technology, product innovation and market structure. WilmerHale lawyers are leaders in effectively addressing the full spectrum of issues affecting financial services industry participants, from international banks, FinTech startups and specialty consumer financial product firms to broker-dealers, investment advisors, and firms accessing global equity and debt markets. Our lawyers bring to bear decades of experience as advocates and regulators and in industry when navigating the complex questions that financial industry participants face. We serve clients by shaping the regulatory environment; counseling regarding rules and regulations; structuring financial industry transactions and products; protecting intellectual property, cybersecurity and privacy; and advocating for firms and individuals in enforcement actions and litigation.

As the industry changes, so too does its regulatory environment. WilmerHale lawyers have deep experience advocating before the constellation of global financial services regulators, including well-established federal, state and European regulators like the US Securities and Exchange Commission, the US Department of Justice, the Federal Reserve, the Office of the Comptroller of the Currency, the Commodity Futures Trading Commission, the UK Financial Conduct Authority, and state attorneys general and securities and banking regulators. We also have extensive experience interacting with newer regulators like the Consumer Financial Protection Bureau and with self-regulatory organizations, including FINRA and the national securities exchanges.

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