

Robert B. McCaw

RETIRED PARTNER

NEW YORK

Robert B. McCaw is a retired partner in the firm's Securities Department and a former member of the Executive Committee. He joined the firm in 1971 and retired in 2009.

Practice

Mr. McCaw had a securities practice that encompassed representation of brokerage firms, investment advisers, corporations, accounting firms, lawyers, law firms and individuals in regulatory investigations, enforcement proceedings and litigation. Mr. McCaw's litigation practice included complex and multi-district litigation involving securities fraud, insider trading, manipulation, improper sales practices, trading irregularities, failure to supervise, financial misconduct, breach of fiduciary duty, negligent misrepresentation, malpractice, rule violations and other allegations.

Mr. McCaw represented individual and corporate clients in counseling, complex and multi-district civil litigation, government regulatory proceedings, enforcement actions and criminal proceedings. This experience included: advising clients concerning public and private securities offerings, regulation of broker-dealers and other market professionals, market making, free-riding and withholding, insider trading, compliance procedures and other issues; representation of senior corporate officials and special committees of boards of directors in internal corporate investigations of questionable domestic and foreign payments, insider trading and other practices; representation of individuals and entities in civil litigation, including complex and multi-district litigation, involving malpractice, securities fraud, insider trading, corporate acquisitions and reorganizations, RICO, breach of fiduciary duty and numerous other allegations; representation of individuals and entities in investigations of corporate disclosures, financial reporting, financial accountability, securities and commodities selling practices, supervision and compliance, insider trading, disclosures concerning acquisitions of securities, corporate reorganizations and takeovers, market manipulation and other matters; and representation of individuals and entities in criminal investigations concerning export controls, conflicts of interest, false statements, insider trading and other securities frauds.

Professional Activities

Mr. McCaw served as part-time Professional Lecturer in Law (Securities Regulation) at The George Washington University School of Law from 1980 to 1983. He has written and spoken on the topics of multi-district litigation, insider trading, international securities crimes and corporate confidentiality.

Recognition

Honors & Awards

- Recognized for exceptional standing in the legal community for his financial services regulation and securities litigation practice in the 2005, 2006, 2007, 2008 and 2009 editions of *Chambers USA: America's Leading Lawyers for Business*
 - Selected by his peers for inclusion in each edition of the *The Best Lawyers in America* from 2005-2010
-

Credentials

EDUCATION

JD, University of Virginia
School of Law, 1970

E

ditor-in-Chief,

Virginia Law Review

BS, Georgetown University,
1965

ADMISSIONS

New York

District of Columbia

Virginia

CLERKSHIPS

The Hon. Hugo L. Black, US
Supreme Court, 1970