

# Mark D. Cahn

## **PARTNER**

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Mark Cahn's practice focuses on government and internal investigations, enforcement proceedings and litigation involving financial institutions, individuals and public companies. He first joined WilmerHale in 1988 and rejoined the firm after serving as General Counsel at the Securities and Exchange Commission (SEC) from 2011–2013.

Throughout his legal career, Mr. Cahn has represented public companies, financial institutions, individuals and corporations in internal corporate investigations, enforcement proceedings involving the SEC, FINRA and other regulatory bodies, and in federal and state court litigation. These matters have involved a broad range of issues, including financial reporting, regulatory compliance, accounting and disclosure inquiries, securities and other financial fraud, and insider trading.

In his position as General Counsel to SEC, Mr. Cahn provided legal advice to the Commission and its Divisions on all enforcement, litigation and regulatory matters. He provided legal and policy advice on administrative and operational issues, advised the Commission on the implementation of all rulemakings, and played a key role in the development of the SEC's Whistleblower program. Mr. Cahn also served as Deputy General Counsel for Litigation and Adjudication at the SEC from 2009 to 2011, during which time he advised the Commission on enforcement recommendations and oversaw the litigation, appellate and adjudication functions of the General Counsel's Office.

# Professional Activities

Mr. Cahn serves on the Executive Council of the Securities Law Committee of the Federal Bar Association. He is also on the Board of Trustees of the SEC Historical Society.

Mr. Cahn has spoken to professional and industry groups on a wide variety of securities enforcement and litigation topics, including insider trading, supervision and internal corporate investigations.

## **Solutions**

Cross-Border Investigations and Compliance Investigations Securities Litigation Federal Inspector General Investigations
Litigation

Foreign Corrupt Practices Act and Anti-Corruption
Securities Enforcement

# Recognition

 Selected by peers for inclusion in Best Lawyers in America in the area of securities litigation (2022–2024).

# **Credentials**

#### **EDUCATION**

JD, Yale Law School, 1986

Editor, Yale Journal on Regulation

BA, Tufts University, 1983

summa cum laude

Phi Beta Kappa

## **ADMISSIONS**

District of Columbia

New York

#### **CLERKSHIPS**

The Hon. John J. Gibbons, US Court of Appeals for the Third Circuit, 1987 - 1987

The Hon. Herbert J. Stern, US District Court for the District of New Jersey, 1986 - 1986

## **GOVERNMENT EXPERIENCE**

Securities and Exchange Commission

Office of the General Counsel

General Counsel

Securities and Exchange Commission

Office of the General Counsel

Deputy General Counsel for Litigation and Adjudication