



## *Daniel T. Chaudoin*

**PARTNER**

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Daniel Chaudoin served on the staff of the Securities and Exchange Commission (SEC) for 10 years, ultimately as Assistant Director, Division of Enforcement. While at the SEC, he led a team of attorneys in the investigation and litigation of cases involving the Securities Act, the Securities Exchange Act, the Investment Advisers Act, the Investment Company Act and the Foreign Corrupt Practices Act. He also coordinated SEC actions with related criminal investigations by the Department of Justice and by the US Attorney's Offices for the Southern and Eastern Districts of New York, the Central District of California, the Middle District of Florida and the District of Delaware.

Mr. Chaudoin's practice has focused on representing financial institutions in connection with investigations regarding the federal securities laws, as well as consumer protection laws and unfair and deceptive trade practices. He regularly appears before the SEC, FINRA, and the Consumer Financial Protection Bureau.

Prior to his work at the SEC, Mr. Chaudoin was a litigation associate at Dickstein, Shapiro, Morin & Oshinsky, LLP, where he focused on complex insurance coverage litigation.

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## *Solutions*

Bank Regulation and  
Enforcement  
Investigations

Consumer Financial Services  
Securities Litigation

Cross-Border Investigations  
and Compliance

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## *Recognition*

Recommended in the 2018 edition of *The Legal 500 United States* for his financial services regulation practice

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## ***Credentials***

### **EDUCATION**

JD, The University of Chicago  
Law School, 1996

*with Honors*

BA, Philosophy, Swarthmore  
College, 1991

*with High Honors*

*Phi Beta Kappa*

### **ADMISSIONS**

District of Columbia

### **GOVERNMENT EXPERIENCE**

Securities and Exchange  
Commission

Division of Enforcement

*Assistant Director*