

# Cherie Weldon

**SPECIAL COUNSEL** 

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Cherie Weldon is a special counsel in the firm's Securities Department, and a member of the Broker-Dealer Compliance and Regulation and Futures and Derivatives Practice Groups. She joined the firm in 1997. Ms. Weldon's practice has a particular focus on the regulation of exchanges and alternative trading systems, in addition to other trading venues and systems.

#### **Practice**

She has extensive experience involving the regulatory and compliance issues raised by established markets as well as up-and-coming trading venues. She has applied this knowledge in providing regulatory advice regarding the creation and ongoing operation of such markets, including the drafting of regulatory filings, policies and procedures and trading rules. She also provides regulatory support in the acquisition of, investment in and other corporate transactions, involving trading venues. Furthermore, she assists in commenting on and responding to various rules promulgated by the SEC and the self-regulatory organizations regarding market structure concepts, with a recent focus on the SEC's proposed rules regarding equity market structure.

Ms. Weldon also advises and represents a variety of clients in the securities industry, including broker-dealers, clearing agencies, hedge funds and trade associations. She has counseled clients on a wide range of issues involving compliance with the securities laws and the rules of self-regulatory organizations. She also provides regulatory support for internal investigations and enforcement actions that involve potential violations of complex securities rules and regulations.

## Professional Activities

Ms. Weldon has co-authored several articles on market-related issues, including "Is it Time to

Revamp the Current Regulatory Structure of the Markets?," by Brandon Becker, Stuart Kaswell, Judy Poppolardo and Cherie Macauley in

The Journal of Investment Compliance

, and contributed to the book

What is an Exchange? The Automation, Management, and Regulation of Financial Markets written by Ruben Lee.

### **Solutions**

Broker-Dealer Compliance and Regulation

**Futures and Derivatives** 

### **Credentials**

#### **EDUCATION**

JD, Yale Law School, 1995

Editor, Yale Journal of Law and Humanities

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BA, Williams College, 1992

magna cum laude

Phi Beta Kappa

#### **ADMISSIONS**

New York

District of Columbia

Massachusetts