

# Bruce E. Coolidge

### RETIRED PARTNER

WASHINGTON DC + 1 202 663 6000

Bruce E. Coolidge had a securities and litigation practice that primarily included governmental and internal investigations and enforcement proceedings and class action and other litigation involving public companies and financial institutions. He represented financial institutions, public companies and individuals in the areas of securities litigation, compliance and enforcement matters for more than 25 years. In addition, he represented companies, depository institutions and individuals in civil, criminal and internal investigations. Mr. Coolidge retired in 2016.

### **Professional Activities**

Mr. Coolidge was a member of the District of Columbia, US Court of Appeals for the District of Columbia, and the United States District Court for the District of Columbia bars. He was also a member of the litigation and securities sections of the American Bar Association.

# Experience

### Recent Highlights

Some of Mr. Coolidge's representative matters included:

- Representation of major broker-dealer firms and individuals in SEC and SRO investigations alleging violations of antifraud, trading, anti-money laundering and member conduct statutes and rules.
- Representation of individuals, broker-dealer firms and independent auditors in private securities class action lawsuits arising out of mortgage-backed securities offerings, financial statement disclosures, and reorganization and corporate control matters.
- Representation at trial of a Swiss bank in defending customer claims arising out of defalcations of customer assets by an SEC-registered investment advisor.
- Representation of an options trading firm in pursuing FINRA arbitration claims against a hedge fund.
- Representation of individuals in SEC, DOJ and FINRA investigations relating to the Oil-for-Food program and trading of credit default swaps.

### Recognition

# **Honors & Awards**

 Selected by his peers for inclusion in the 2008-2012, 2015 and 2016 editions of the *Best Lawyers in America* for corporate governance and compliance law

### **Credentials**

#### EDUCATION

ADMISSIONS

District of Columbia

JD, University of California, Berkeley School of Law, 1977

Associate Editor, California Law Review

BA, Yale University, 1974

magna cum laude