



## *Benjamin Neaderland*

**PARTNER**

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Ben Neaderland is a partner in the firm's Securities Department and a member of the Securities Litigation and Enforcement Practice. He is also active in the firm's Financial Institutions Practice.

Mr. Neaderland has two decades of experience in high-profile, high-stakes securities and financial regulatory investigations and enforcement matters, often involving multi-regulator investigations and parallel consumer and shareholder litigation. He counsels and advocates on behalf of publicly traded companies, high-tech, social media, and fintech startups, US and global financial institutions, audit firms, broker-dealers, financial advisers, and individuals. He appears regularly before the Securities and Exchange Commission (SEC), FINRA, state and international regulators, US attorneys' offices, the OCC, and the Consumer Financial Protection Bureau (CFPB).

Mr. Neaderland is a trusted advisor and advocate for institutions and individuals on issues relating to the following:

- Cryptocurrency regulation and trading
- Improper trading and/or information sharing allegations
- Investment Adviser Act pay-to-play compliance and enforcement
- Equity analyst regulation
- Attorney-client privilege and attorney work product protection in government investigations
- Municipal securities underwriting compliance and enforcement matters
- Unfair, deceptive, or abusive acts or practices (UDAAP) allegations concerning financial products
- Broker-dealer trading and compliance issues, including market manipulation, high-frequency trading, and record retention
- Public company financial reporting and disclosure practices

Mr. Neaderland is the Co-Chair of the firm's Washington, DC hiring committee. He also

maintains an active pro bono practice, providing legal assistance to elderly District of Columbia residents related to powers of attorney and estate planning.

Mr. Neaderland joined the firm in 2002. Prior to joining the firm, Mr. Neaderland served as a foreign affairs specialist in the Office of European and NATO Policy, Office of the Secretary of Defense (1997–2000) and as a foreign affairs legislative assistant to United States Senator William S. Cohen (1995–1996).

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## *Solutions*

Blockchain and  
Cryptocurrency  
Securities Enforcement

Cross-Border Investigations  
and Compliance

Investigations

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## *Credentials*

### **EDUCATION**

JD, Stanford Law School, 2003

*with Distinction*

MA, Johns Hopkins University,  
1995

BA, University of Virginia, 1992

*with High Honors*

*Phi Beta Kappa*

### **ADMISSIONS**

District of Columbia

California

### **GOVERNMENT EXPERIENCE**

Department of Defense

Office of the Secretary of  
Defense

*Foreign Affairs Specialist,  
Office of European and NATO  
Policy*

### **LANGUAGES**

French

German

Italian