

SEC Enforcement—2016 in Review and Looking Ahead to 2017

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Securities Department Chair William R. McLucas, Partner Douglas J. Davison and Senior Associate Lauren J. Schreur, along with Ankura Consulting Group Senior Managing Directors Martin S. Wilczynski and Steven E. Richards, recently published an article in *Securities Docket* that reviewed the Securities and Exchange Commission's (SEC) enforcement activity in 2016 and discussed a range of potential developments for 2017.

The article describes some of the key issues arising from the nearly 870 SEC enforcement actions and more than \$4 billion in disgorgement and penalties imposed in 2016. Looking forward, however, the new administration may be less aggressive in pursuing certain sanctions and focus more on historically programmatic areas of violations. According to the article, the SEC also will focus on deregulation under the Trump administration, likely targeting rules created by the Dodd-Frank Act and other regulations. Read the full article

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