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## Vice-Chairman of the Financial Industry Regulatory Authority Stephen Luparello to Join WilmerHale

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WilmerHale is pleased to announce that Stephen Luparello, currently the vice-chairman of the Financial Industry Regulatory Authority (FINRA), will join the firm as a partner resident in its Washington DC office on October 8, 2012. Luparello's extensive career with FINRA, which regulates all securities firms doing business in the United States, and with its predecessor NASD Regulation, combined with his previous regulatory agency experience, will greatly enhance WilmerHale's Broker-Dealer and Securities Litigation and Enforcement practices.

As FINRA vice-chairman, Luparello has been responsible for all aspects of FINRA's examination, enforcement, market regulation, international, and disclosure programs. He has also been responsible for the oversight and management of a staff of 2300 employees. As vice-chairman and Sr. EVP for Regulatory Policy since the creation of FINRA, Luparello has overseen the formation and execution of FINRA's enforcement and examination programs as well as the process of combining the legacy NASD and NYSE Rulebooks into a consolidated FINRA Rulebook. Prior to his service at FINRA, Luparello was SVP/EVP for Market Regulation at NASD Regulation, where he oversaw the trading-related regulatory program, including the regulation of Nasdaq, the unlisted markets, and the fixed

income markets.

“Because of the breadth of his experience and responsibilities in the realm of securities policy, regulation, and enforcement, Steve will bring an invaluable perspective to our securities practice and to our clients,” said [Bill McLucas](#), chair of the Securities Department. “His background makes Steve a natural fit at WilmerHale, and we are very pleased to welcome him to the team.”

“Steve has a sophisticated understanding of the financial markets and its participants that further strengthens our ability to serve our clients,” said [Bob Novick](#), co-managing partner at WilmerHale. “He also has a strong reputation as a consensus-builder who works well with all interests.”

Luparello’s regulatory experience includes serving in senior-level positions at both the US Commodity Futures Trading Commission (CFTC) and the Securities and Exchange Commission (SEC). Since 2008, Luparello has also served as FINRA’s representative on the Board of Directors of the Depository Trust and Clearing Corporation. He has also served on the FINRA Board of Governors.

Luparello began his career as a staff attorney at the US Department of Agriculture. He is a graduate of the Washington and Lee University School of Law and received his undergraduate degree in History from LeMoyne College.