

## The Consolidated FINRA Rulebook: Current Status, December 15 Rollout, and Year-End Surprises

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On December 15, 2008, the first rules adopted by the Financial Industry Regulatory Authority ("FINRA") as FINRA rules--as opposed to NASD or New York Stock Exchange ("NYSE") rules applicable to FINRA members-will take effect. In anticipation of that date, we have reviewed the current status of FINRA's rule consolidation project in our recent Email Alert.