

Exceptional Insolvencies: Provisions of the Bankruptcy Code and SIPA Regarding Broker-Dealers and Other Financial Market Participants and Contracts

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Recent turmoil in the financial markets has focused attention on the potential insolvencies of broker-dealers and other financial market participants, whose businesses are intertwined with myriad investors and counterparties through investment accounts, securities and commodities contracts, repurchase agreements, swaps, and master netting agreements. For more, see our recent Email Alert.