

The Risks of Unregistered Broker Activity and Solicitations for Private Investment Funds

JUNE 19, 2013

WilmerHale Partners Elizabeth Derbes and Timothy Silva will be featured panelists at this webinar, which will cover the following topics:

- Broker registration requirements in the context of private fund offerings
- Red flags that fund managers, brokers and finders must be aware of to avoid penalties and prosecution
- The Ranieri Partners and Stephens enforcement actions
- Recent SEC scrutiny on marketing activities of private fund managers

[READ MORE ABOUT THE EVENT](#)

Speakers



Timothy F. Silva

PARTNER

 timothy.silva@wilmerhale.com

 BOSTON

 + 1 617 526 6502

Related Solutions

Investment Management

You May Be Interested In



Managing Cyber Risks in 2024: Regulatory Compliance, Litigation Risk, Third-Party Cybersecurity Incident Exposure

APRIL 24, 2024

SPEAKING ENGAGEMENT



FIA Law & Compliance Division Conference 2024

APRIL 24-26, 2024

SPEAKING ENGAGEMENT





18th Annual Flagship Conference on Economic Sanctions Enforcement and Compliance

APRIL 29, 2024

SPEAKING ENGAGEMENT

[VIEW ALL EVENTS](#)