

SIFMA Compliance & Legal Society Annual 2013

MARCH 17-20, 2013
PHOENIX, ARIZONA

MARCH MARCH
17 – 20

REGISTER NOW

JW Marriott Desert Ridge
5350 E Marriott Drive
Phoenix, AZ 85054

SIFMA's Compliance & Legal Society Annual Seminar features more than 60 dynamic and informative panels. Topics include Regulatory Reform and Legislative Developments; Litigation; Arbitration and Enforcement Developments; Retail Compliance and Advisory Issues, Current SEC Issues, Ethical Considerations for Lawyers and Compliance Professionals; and Capital Markets Compliance Issues. Participants will gain the opportunity to engage with leading industry experts, discuss the latest regulatory developments and industry trends.

WilmerHale partners Yoon-Young Lee, Steve Luparello, Stephanie Nicolas and Harry Weiss will be speaking at the SIFMA Compliance and Legal Society 2013 Seminar.

Speakers



Yoon-Young Lee

PARTNER

YOON-YOUNG.LEE@WILMERHALE.COM

WASHINGTON DC

+ 1 202 663 6720



Stephanie Nicolas

PARTNER

STEPHANIE.NICOLAS@WILMERHALE.COM

WASHINGTON DC

+ 1 202 663 6825



Harry J. Weiss

PARTNER

HARRY.WEISS@WILMERHALE.COM

WASHINGTON DC

+ 1 202 663 6993

Related Solutions

Securities Litigation

You May Be Interested In

A horizontal decorative image at the bottom of the page showing a blurred background of warm, glowing lights, possibly from a city or event.



Fundamentals of Broker-Dealer Regulation 2019

JULY 22, 2019

EVENT



SIFMA C&L Society San Francisco Regional Seminar

JULY 24, 2019

EVENT

[VIEW ALL EVENTS +](#)