

## SIFMA Compliance and Legal Society Annual Seminar 2012

MARCH 18-21, 2012

SIFMA's Compliance & Legal Society Annual Seminar features more than 60 dynamic and informative panels. Topics include Regulatory Reform and Legislative Developments; Litigation, Arbitration and Enforcement Developments; Retail Compliance and Advisory Issues; Current SEC Issues; Ethical Considerations for Lawyers and Compliance Professionals; and Capital Markets Compliance Issues. Participants will gain the opportunity to engage with leading industry experts, discuss the latest regulatory developments and industry trends.

WilmerHale Partners Yoon-Young Lee, Stephanie Nicolas and Harry Weiss are all featured speakers at this event. Ms. Lee will be a panelist in a session entitled "Conflicts Management;" Ms. Nicolas will be a panelist in a session entitled, "Research;" and Mr. Weiss will be a panelist in a session entitled, "Litigation Update: Regulatory and Criminal."

[READ MORE ABOUT THE EVENT](#)

### *Speakers*



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## *You May Be Interested In*



### **FIA Law & Compliance Division Conference 2024**

APRIL 24–26, 2024

**SPEAKING ENGAGEMENT**



### **18th Annual Flagship Conference on Economic Sanctions Enforcement and Compliance**

APRIL 29, 2024

**SPEAKING ENGAGEMENT**



## Essentials of the European Union's Artificial Intelligence Act

MAY 2, 2024

WEBINAR

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