

Practising Law Institute: Understanding the Securities Laws Summer 2015

JULY 23, 2015

Whether you are new to the field or seeking a quick refresher or an update, this is a securities law primer that you won't want to miss. This program will provide an overview and discussion of the basic aspects of the U.S. federal securities laws by leading in-house and law firm practitioners and key SEC representatives. Emphasis will be placed on the interplay among the Securities Act of 1933, the Securities Exchange Act of 1934, the Sarbanes-Oxley Act, the Dodd-Frank Act, and related SEC regulations, and on how securities lawyers can solve practical problems that arise under them in the context of public and private offerings, SEC reporting, mergers and acquisitions and other common corporate transactions.

WilmerHale Partner Anjan Sahni will speak in the session "Liability for Securities Law Violations" focusing on the following topics:

- Securities Act
- Sections 11, 12, 15 and 17
- Indemnification and contribution
- Exchange Act
- Section 10 and Rule 10b-5
- Insider trading and selective disclosure
- "Controlling person" liability
- The latest on Rule 10b-5 liability

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Speakers



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