WILMERHALE .

Practising Law Institute: Coping with Broker/Dealer Regulation and Enforcement

2008-10-29

This program, presented by PLI, will feature a panel of expert facultywho will discuss ways that will helpone cope with the changes in Broker/Dealer enforcement and regulation, including issues underlying the financial market crisis, and the examination and enforcement priorities of the SEC, SRO's and state regulators. In addition, senior in-house regulatory lawyers will offer practical advice regarding self-reporting, cooperation, remediation and managing the regulatory process, while senior litigators will discuss developments in private litigation, parallel investigations and privilege waivers.

WilmerHale partner Harry Weiss will speak on a panel entitled,"SEC and SRO Enforcement Developments."

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SPEAKING ENGAGEMENT



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