

Practising Law Institute: Basics of Mutual Funds and Other Registered Investment Companies 2015

APRIL 30, 2015

This program is designed to provide attendees with a basic overview of the legal, regulatory and operational issues affecting “registered investment companies” – the universe of publicly offered pooled investment vehicles that include mutual funds, closed-end funds, exchange-traded funds (“ETFs”) and others. Attendees will learn the fundamentals of the extensive regulatory framework that dictates the structure and operations of retail investment funds as well as hot topics and developments affecting this \$13 trillion industry. A distinguished faculty of practitioners and regulators, including current and former SEC officials, will offer insights into recent proposals that could result in the potential overhaul of regulations governing money market funds and the SEC examination process, plus recent litigation and enforcement decisions.

WilmerHale Partner Lori Martin will be a featured speaker on the panel entitled, “Fund Litigation.”

[READ MORE ABOUT THE EVENT](#)

Speakers



Lori A. Martin

PARTNER

✉ lori.martin@wilmerhale.com

📍 NEW YORK

☎ + 1 212 295 6412

Related Solutions

Securities Litigation

Investment Management

You May Be Interested In



FIA Law & Compliance Division Conference 2024

APRIL 24–26, 2024

SPEAKING ENGAGEMENT



18th Annual Flagship Conference on Economic Sanctions Enforcement and Compliance

APRIL 29, 2024

SPEAKING ENGAGEMENT



Essentials of the European Union's Artificial Intelligence Act

MAY 2, 2024

WEBINAR

[VIEW ALL EVENTS](#)