

NRS and Investment Adviser Association - Investment Management Regulatory Compliance Conference

2010-11-10

At this National Regulatory Services/Investment Adviser Association conference, a team of the industry's leading compliance experts will share their insights and expertise. This event will deliver valuable guidance as we face a new era of regulatory change. The agenda addresses the new environment created in the wake of Dodd-Frank, Part 2 and Pay to Play and the collateral implications of today's most pressing regulatory challenges. By attending this conference you will; learn the implications of the Dodd-Frank reconfigured regulatory landscape on investment advisers, hedge fund advisers and "small" advisers; gain invaluable information about navigating the new Form ADV Part 2 disclosure requirements; explore expanded SEC enforcement powers, new whistleblower protections and incentives; and gain real-time insights about the present issue focus and risk metrics of the SEC examination program.

WilmerHale partner Lori Martin will lead a panel discussion entitled, "The New SEC Enforcement and Investigation Paradigm."

READ MORE ABOUT THE EVENT

Speakers




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