

Navigating the Volcker Rule: Key Risk and Compliance Considerations

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As compliance and reporting dates of the Volcker Rule get closer, financial institutions are ramping up their compliance programs to address the new mandates. How can your organization effectively manage the risks associated with the new rule? A panel of practitioners from Navigant Consulting, Inc. and WilmerHale provided valuable insights into the Volcker Rule's proprietary trading prohibition, exclusions and exemptions. The panelists also covered key considerations for financial institutions as they develop and implement strategies to assess and enhance their compliance programs.

The panel included WilmerHale attorneys Gail Bernstein, Stephanie Nicolas, Andre Owens and Zachary Schram as well as representatives from Navigant Consulting, Inc.

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Speakers



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