

National Society of Compliance Professionals 2010 National Meeting

NOVEMBER 1-3, 2010

The National Society of Compliance Professionals is a nonprofit, membership organization dedicated to serving and supporting compliance officials in the securities industry. This annual national meeting will cover a variety of topics, including custody, code of ethics, principal trades, suitability, information barriers and managing material non-public information, recordkeeping and supervision among several others.

WilmerHale partner Dan Gallagher is a featured speaker at this event, where he will participate in a panel on Wednesday, Nov. 3, entitled "Institutional Sales and Trading."

[READ MORE ABOUT THE EVENT](#)

You May Be Interested In



18th Annual Flagship Conference on Economic

Sanctions Enforcement and Compliance

APRIL 29, 2024

SPEAKING ENGAGEMENT



Essentials of the European Union's Artificial Intelligence Act

MAY 2, 2024

WEBINAR



US Supreme Court Crystal Ball

MAY 8, 2024

SPEAKING ENGAGEMENT

[VIEW ALL EVENTS](#)

