

NASD at Wharton Certificate Program for Compliance and Regulatory Professionals

2006-12-01

Partner Brandon Becker and former associate Christie Obergled a class session entitled "Success in Securities Industry-Regulatory Interactions II," at this program created by the Wharton School of the University of Pennsylvania and the National Association of Securities Dealers (NASD). The program provides instruction on the practical application of securities laws and regulation and offers guidance on best practices and procedures for regulatory and compliance professionals.

To view the outline prepared for this session, click [here](#).

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