

## Investment Advisor Association Compliance Workshop

2006-11-16

Partner Robert Bagnall will be a featured speaker at this workshop presented by the Investment Advisor Association addressing current hot topics in investment adviser regulation and compliance, including the SEC's new interpretive guidance on soft dollars, trading issues, SEC exams, annual reviews, wrap fee/SMA issues, and more.

[READ MORE ABOUT THE EVENT](#)

---

### *Related Solutions*

Investment Management

Germany

German Compliance and  
Corporate Governance

### *You May Be Interested In*





## **Managing Cyber Risks in 2024: Regulatory Compliance, Litigation Risk, Third-Party Cybersecurity Incident Exposure**

APRIL 24, 2024

**SPEAKING ENGAGEMENT**



## **FIA Law & Compliance Division Conference 2024**

APRIL 24–26, 2024

**SPEAKING ENGAGEMENT**



## **18th Annual Flagship Conference on Economic Sanctions Enforcement and Compliance**

APRIL 29, 2024

**SPEAKING ENGAGEMENT**

[VIEW ALL EVENTS](#)

---