

Impact of Swap Execution Facility (SEF) Rules

MAY 1, 2013

The Dodd-Frank Act created the swap execution facility (SEF), a new regulated marketplace for the trading of swaps. The Commission proposed rules regulating these facilities on January 2011 and is expected to finalize these rules imminently. On May 1, 2013 at noon, market participants and industry observers will engage in a thoughtful discussion of a number of significant issues raised by the SEF rulemaking, including: (a) block trades; (b) the role of voice-brokers in the new SEF environment; (c) the procedure for Request-for-Quotes (RFQs); and (d) the changing relationship between instruments traded on SEFs versus those traded on futures exchanges, that is the "futurization" of swaps. Dan M. Berkovitz, former General Counsel of the CFTC, De'Ana H. Dow, General Counsel of Capitol Counsel LLC, Micah S. Green of Patton Boggs, LLP and Chris Iacovella of Bloomberg LP will serve among the panelists.

WilmerHale Partner Paul Architzel will serve as the moderator during this event.

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Speakers



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