

How the CFTC's Newly Adopted Revisions Impact Private Fund Managers

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The CFTC's newly adopted revisions will have a significant impact on private fund managers currently exempt from CPO and CTA registration. Paul Architzel, Jeannette Boot and Matthew Chambers discussed and answered questions on the revisions to the rules relating to registration by collective investment vehicles, including:

- revisions to the requirements for the 4.5 exemption for registered investment companies;
- revisions to the *de minimis* exemption for commodity pool registration; and
- withdrawal of the exemption under rule 4.13(a)(4)) for "qualified eligible persons."

In addition, they examined new reporting requirements for commodity pool operators as well as proposed rules to harmonize compliance with both investment company and commodity pool operator requirements.

WilmerHale's featured speakers included Partners Paul Architzel, Jeanette Boot and Matthew Chambers.

Webinar recording

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Speakers



Paul M. Architzel
RETIRED SENIOR COUNSEL

WASHINGTON DC

4 + 1 202 663 6000



Jeannette K. Boot

PARTNER

■ jeannette.boot@wilmerhale.com

• NEW YORK

4 + 1 212 295 6507

Matthew A. Chambers

RETIRED PARTNER

♀ WASHINGTON DC

+ 1 202 663 6000

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