

American Law Institute-American Bar Association: 31st Annual Advanced ALI-ABA Course of Study

JUNE 18-19, 2009

More has changed in the broker-dealer industry in the past 18 months than any time in the last generation including: the crisis in the credit markets; unprecedented declines in the equities markets; the collapse or forced merger of major broker-dealers and banks; the conversion of other major broker-dealers to bank holding company status; and the merger of regulators at the NASD and NYSE. At this Webcast, presented by ALI-ABA, attendees had the opportunity to learn the latest developments in broker-dealer regulation.

At this Webcast, WilmerHale partner Soo Yim was a moderator on a panel entitled, "The Intersection of Bank and Brokerage Regulation."

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