

2019 FINRA Annual Conference

MAY 15–17, 2019
WASHINGTON DC

FINRA's premier event, the Annual Conference allows practitioners, peers and regulators to exchange ideas on today's most timely compliance and regulatory topics. Counsel Ashley Bashur participated in the panel "Enforcement Initiatives, Developments and Priorities," which provided an overview of new developments and trends in enforcement, including enforcement priorities. Panelists highlighted noteworthy decisions and settlements that illustrate FINRA priorities and provided guidance on regulatory and compliance practices.

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Speakers



Ashley E. Bashur

PARTNER

✉ ashley.bashur@wilmerhale.com

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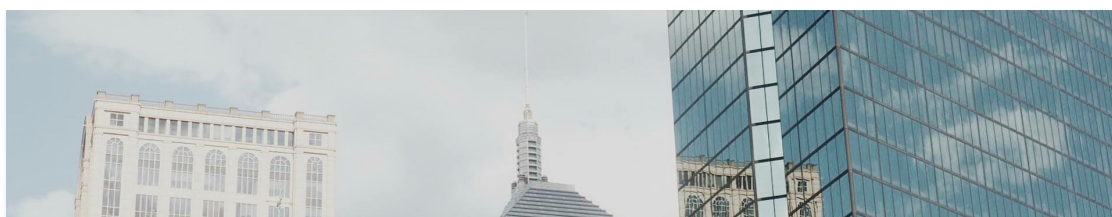
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