

Moving Beyond Share Class Conflicts

MAY 15, 2019
WEBINAR

Recently, SEC Enforcement has put the spotlight on share class selection issues with announced settlements with 79 investment advisers arising from the agency's Share Class Selection Disclosure Initiative. More settlements are expected. But advisers should also be mindful of issues stemming from other, similar types of conflicts of interest that are likely to next attract the SEC's attention.

In this webinar, IAA Associate General Counsel Sanjay Lamba will be joined by WilmerHale Partners Amy Doberman and Gretchen Passe Roin to discuss issues relating to share class selection, revenue sharing and other financial arrangements—including issues arising from affiliations and important takeaways from the recent Robare decision. In addition, the speakers will discuss other core areas of SEC focus, including issues relating to fee and expense billing.

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Speakers



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