

Basics of Mutual Funds and Other Registered Investment Companies 2019

MAY 6, 2019
PLI NEW YORK CENTER

This event is designed to provide attendees with a basic overview of the legal, regulatory and operational issues affecting “registered investment companies”—the universe of publicly offered pooled investment vehicles that include mutual funds, closed-end funds, exchange-traded funds and others. Attendees will learn the fundamentals of the extensive regulatory framework that dictates the structure and operations of retail investment funds, as well as hot topics and developments affecting this \$13 trillion industry. A distinguished faculty of practitioners will offer insights into regulatory and enforcement developments—including the new administration and SEC—that could significantly change the landscape of the retail investment management industry.

Partner Lori Martin will speak on a fund litigation panel.

Groupcast locations and webcast are also available.

READ MORE ABOUT THE EVENT

Speakers




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