

FIA Webinar: Representing Parties in Multi-Agency Enforcement Investigations

NOVEMBER 15, 2018
WEBINAR

During this one-hour webinar, a panel of WilmerHale attorneys will discuss key issues that may arise when multiple regulators investigate a matter simultaneously. These regulators may include the CFTC, SEC, Department of Justice, NY Attorney General's Office, FINRA, futures SROs, the UK FCA and others. The subjects of such investigations may include CFTC or SEC registrants or even market participants. This webinar will explore how a multi-agency investigation differs from an investigation conducted by a single agency, how best to respond to a multi-agency investigation and the possible pitfalls that may arise.

WilmerHale attorneys Paul Architzel, Jonathan Pressman, Daniel Schubert and Petal Walker will present this webinar.

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Speakers



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