

## SIFMA C&L Society New York Regional Seminar

NOVEMBER 5, 2018  
NEW YORK MARRIOTT MARQUIS

The SIFMA C&L Society Regional Seminar in New York City will feature presentations by leading securities regulators and industry professionals. Topics will include: Corporate and Investment Bank Current Issues; Current Issues in Wealth Management & Investment Advisory; Washington Update; Sales & Trading; Hot Topics in Compliance; Ethics; and Enforcement.

Yoon-Young Lee will participate on the Corporate and Investment Banking Current Issues panel.

[READ MORE ABOUT THE EVENT](#)

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### *Speakers*



#### **Yoon-Young Lee**

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