

SIFMA Compliance & Legal Society Regional Seminar

NOVEMBER 1, 2017
NEW YORK, NEW YORK

NOVEMBER

1

EVENT HAS ENDED

Grand Hyatt New York
109 East 42nd Street
New York, New York 10017

Join several hundred of your colleagues at the C&L Society Regional Seminar in New York City on November 1 at the Grand Hyatt. This one-day seminar will feature presentations by leading securities regulators and industry professionals.

Topics will include:

- Corporate and Investment Bank Current Issues
- Current Issues in Wealth Management & Investment Advisory
- Washington Update
- Sales & Trading
- Hot Topics in Compliance
- Ethics
- Enforcement

WilmerHale Partner Yoon-Young Lee will participate in a panel discussion on corporate and investment banks.

Speakers



Yoon-Young Lee

PARTNER

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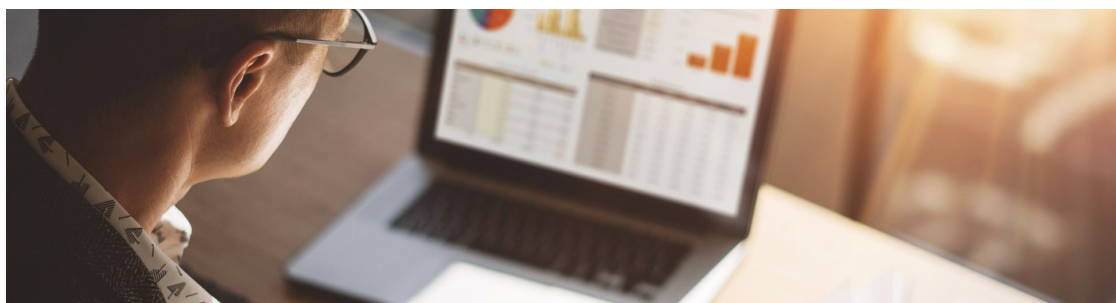
You May Be Interested In



Fundamentals of Broker-Dealer Regulation 2019

JULY 22, 2019

EVENT





SIFMA C&L Society San Francisco Regional Seminar

JULY 24, 2019

EVENT

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