

Maybe Unicorns Aren't So Special: Galloping Through Regulatory Terrain Common to Public Companies

OCTOBER 4, 2017

The rapid growth of “unicorn” companies—privately held startups with valuations of more than \$1 billion—presents a number of significant regulatory challenges and risks. Although many people believe that special rules and exemptions apply to unicorns, in fact, unicorns may not be so unique in the eyes of regulators. Much like public companies, it is more important than ever that they focus on developing appropriate legal and compliance procedures surrounding capital raising, public disclosures, options compensation, and related issues to avoid, or best respond to, scrutiny by regulators, including the US Securities and Exchange Commission (SEC). Join a distinguished panel of industry professionals including WilmerHale Partners Lori Echavarria (former SEC Associate Regional Director and Head of Enforcement for the Los Angeles Regional Office) and Michael Mugmon and FTI Consulting Senior Managing Director and Co-Leader of Forensic Accounting & Advisory Services Ed Westerman, to discuss important SEC trends and initiatives impacting unicorn companies.

Topics to be covered include:

- The Unicorn Landscape
- Jurisdictional “Hooks”
- The Vulnerability of Unicorn Companies
- Transitioning from Private to Public: What Happens Next?
- The Trump Administration and the Current SEC Environment

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Speakers



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