

PLI's Basics of Mutual Funds and Other Registered Investment Companies 2017

APRIL 20, 2017

This program is designed to provide attendees with a basic overview of the legal, regulatory and operational issues affecting “registered investment companies”—the universe of publicly offered pooled investment vehicles that include mutual funds, closed-end funds, exchange-traded funds (ETFs) and others. Attendees will learn the fundamentals of the extensive regulatory framework that dictates the structure and operations of retail investment funds as well as hot topics and developments affecting this \$13 trillion industry. A distinguished faculty of practitioners and regulators, will offer insights into regulatory and enforcement developments—including the new administration and SEC—that could significantly change the landscape of the retail investment management industry.

WilmerHale Partner Lori Martin will participate in a session on fund litigation at this meeting.

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Speakers



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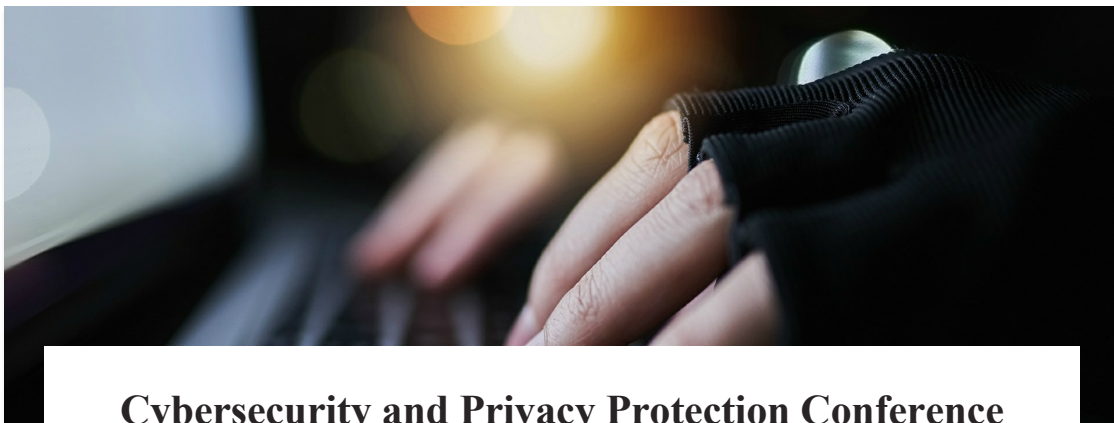
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