

SIFMA Compliance & Legal Society Regional Seminar

NOVEMBER 12, 2016

Join several hundred of your colleagues at the C&L Society Regional Seminar in New York City at the Marriott Marquis. This one-day seminar will feature presentations by leading securities regulators and industry professionals.

Topics Include:

- Current Issues in Wealth Management
- Recent Regulatory Issues
- Ethics
- Hot Topics in Compliance
- AML & Anti-Corruption
- Securities Industry Enforcement Trends

WilmerHale Partner Yoon-Young Lee will participate in a panel discussion on corporate and investment banks, and Partner Sharon Cohen Levin will participate in the AML general session.

READ MORE ABOUT THE EVENT

Speakers



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