

Practising Law Institute: Securities Filings 2016 – Practical Guidance in a Changing Environment

SEPTEMBER 7-8, 2016

This popular program will analyze in detail the principal forms used for filings with the SEC under the Securities Act of 1933 and the Securities Exchange Act of 1934, with particular emphasis on the mechanics of and timing for assembling particular filings. Each segment of the program will incorporate practical tips and/or interactive drafting exercises. Ethics credit and recent legislation and SEC rule changes affecting disclosure obligations will be woven within the topics covered.

WilmerHale Partner Lillian Brown will present during the session "Current Report on Securities Filing Form 8-K," which will cover the following topics:

- Events triggering obligation to file
- Use of 8-K to satisfy other filing requirements
- "Furnished" vs. "filed" reports
- The "safe harbor"
- 8-K "traps" and the importance of corporate planning

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Speakers



Lillian Brown

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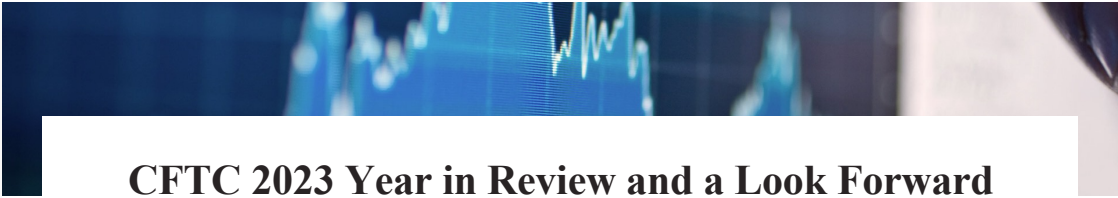


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