

2013 Securities Compliance Seminar

APRIL 24-26, 2013

The 2013 Securities Compliance Seminar will offer intensive training for intermediate as well as seasoned compliance specialists, internal auditors, attorneys and regulators that focuses on current compliance topics, new rules or interpretations and regulatory developments, including a Dodd-Frank regulatory update.

WilmerHale Partner Russell Bruemmer will review current developments affecting securities broker-dealers, investment advisors and commercial banks, including legislative/regulatory initiatives and pertinent court decisions, during the conference's opening panel, "Key 2013 Legislative and Regulatory Initiatives."

WilmerHale Counsel Jeremy Newell is on the "Dodd-Frank Swap Dealer Regulation" panel and will examine special issues and concerns for banks and their affiliates, the development of a compliance program, and how success should be measured.

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Speakers

Russell J. Bruemmer

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