

SEC Enforcement Actions Emphasize Importance of Stock Ownership Reporting Obligations

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The SEC recently initiated a number of enforcement actions against public companies and insiders for failing to comply with the stock ownership disclosure rules in sections 13(d) and 16(a) of the Securities Exchange Act. Our article on this development, "Keeping Current: SEC Enforcement Actions Emphasize Importance of Stock Ownership Reporting Obligations for Public Companies and Insiders," by Meredith Cross, Douglas Davison, Cynthia Mazareas and Knute Salhus, was published in the November 2014 edition of Business Law Today. You can read the article here.