

SEC Enforcement: 2016 In Review and Looking Ahead to 2017

MARCH 3, 2017

WilmerHale's Securities Enforcement and Litigation Group has released commentary discussing the Securities and Exchange Commission's enforcement record in 2016 and considering potential changes as a result of the new administration and change in leadership at the SEC. The article reviews key aspects of the SEC's enforcement agenda in 2016, including its pursuit of "first-of-their-kind" cases, its "investigate to litigate" model, actions against individuals and insistence on admissions, and continued growth of the whistleblower program. Looking forward, the article notes the departures of Chair Mary Jo White and key staff and discusses potential changes in enforcement emphasis and regulatory priorities in the new administration.

Authors

Thomas W. White

RETIRED PARTNER

+1 202 663 6000