



White Collar Defense and Investigations

From government enforcement activity to civil claims, the liability that follows these actions can be costly, both financially and reputationally. Navigating such multifaceted matters requires dynamic, sophisticated counsel. Our team, which spans the United States, Europe and Asia, has deep experience in all aspects of white collar defense, government and administrative enforcement actions, and civil and criminal litigation. We help corporations, executives, public officials and others respond to such actions, address compliance, mitigate risk and reputational damage, and litigate against allegations of wrongdoing.

PRACTICE AT A GLANCE

- When sensitive matters call for comprehensive strategies, responsiveness, seamless coordination, and innovative, cost-effective advocacy, clients—including those in high-risk markets—turn to WilmerHale.
- When other firms' settlement efforts fail or investigations go off the rails, our lawyers aggressively defend clients at trial, in multi-agency and multijurisdictional matters, and before every major US state and federal regulatory authority, including the Department of Justice (DOJ), Securities and Exchange Commission (SEC), Offices of Inspector General, and State Attorneys General.
- As inquiries from these authorities become more persistent—and their efforts become global—we draw on our deep bench of lawyers and record of success in sometimes very public investigations and litigation to support clients.
- We also have extensive experience serving as DOJ-appointed monitors and counseling clients under monitorships, which demonstrates our excellent reputation and depth of skill in the white collar space.
- Our team includes seasoned litigators and former regulatory and government officials who have previously served as Deputy Attorneys General, US Attorneys, senior SEC Enforcement officials, and General Counsel at the Department of Defense, FBI and CIA.
- The team has achieved success in high-stakes trials and has conducted key internal investigations in the financial services, technology, automotive, aviation, higher education, entertainment, consumer products, energy, utilities, engineering, telecommunications, manufacturing and healthcare sectors.



Named WilmerHale the winner of the 2022 “Most Impressive Investigations Practice of the Year” Award and a finalist in its 2021 “Most Impressive Investigations Practice of the Year,” “Most Important Court Case of the Year” and “Most Important Development of the Year” contests. Since 2015, the firm has been ranked at the top of GIR's list of the world's most accomplished investigations practices.

— *Global Investigations Review*



DC Litigation Department of the Year for White Collar Defense in 2019.

— *National Law Journal*

EXPERIENCE

- Leading an independent compliance monitorship for a major healthcare provider in the wake of a DOJ settlement.
- Helped a major foreign technology conglomerate achieve a settlement with the DOJ and the SEC that resolved federal allegations that the company's avionics unit violated the FCPA.
- In the wake of the Deepwater Horizon offshore drilling accident, led BP's response to an unprecedented number of inquiries, including 15 hearings, investigative demands by more than 90 committees and members, and investigations by the DOJ, the SEC, the National Oil Spill Commission, the Chemical Safety Board and the National Academy of Engineers.
- Achieved a stunning victory for former foreign exchange (FX) trader Rohan Ramchandani, who was indicted by US prosecutors on charges of engaging in illegal price-fixing in the FX markets in violation of the Sherman Antitrust Act. Mr. Ramchandani faced a sentence of up to 10 years in prison if convicted.
- Served as the DOJ/SEC appointed independent FCPA compliance monitor for a German-based supplier of global dialysis products and services in connection with the company's \$231 million resolution of charges that it violated the FCPA.
- Defended two former Thornburg Mortgage executives against claims that they defrauded investors and mislead their auditors. A jury cleared both on half of the 10 claims they faced and deadlocked in the defendants' favor on the remaining five, resulting in the SEC's first loss at trial since 2014. The SEC then dismissed the remaining claims.
- Represented a health technology and medical laboratory services company in federal and state law enforcement and regulatory investigations, providing risk management and strategic advice. The case involved parallel DOJ and SEC investigations, potential regulatory sanctions, state inquiries, and private civil litigation.
- In the largest corporate immigration investigation in the United States, represented Infosys in federal criminal and administrative investigations and in a congressional inquiry, and provided internal investigation and regulatory advice.
- Represented JPMorgan in connection with US and British government investigations arising out of the "London Whale" trading incident. We assisted with the issuance of JPMorgan's Management Task Force Report and participated in settlement discussions with regulators.
- Representing a Fortune 100 client in connection with the ongoing investigation by the Eastern District of New York involving FIFA and continental soccer organizations and officials, international sports marketing firms and other unnamed co-conspirators.

For more information, please contact:

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A UK POWERHOUSE

Headed by Partner Stephen Pollard, who has been involved in most of the significant business crime investigations and prosecutions brought by the UK authorities during his career, WilmerHale's UK white collar group defends multinational companies, corporate executives and others facing allegations of financial services fraud, multijurisdictional white collar crime, corruption and criminal cartel activity.

The group also conducts internal corporate investigations on behalf of companies that suspect employee misconduct or where there is a risk of exposure to a formal criminal or regulatory investigation.

"WilmerHale is one of the heaviest hitters in the white-collar market with a sustained record of acting in the largest and most complex of cases. The firm has only enhanced its reputation in the last year by achieving favourable results for individuals in two high-profile SFO investigations."

— *Global Investigations Review*